
March 2006

IBO's Programmatic Review of the 2007 Preliminary Budget

*Department of Transportation
(DOT)*

IBO

New York City
Independent Budget Office

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Introductory Note

IBO's programmatic reviews of the 2007 preliminary budgets of selected city agencies are intended to assist the public and elected officials better understand the allocation of budgetary resources to city services by presenting agency budgets in a way that is more closely aligned with the actual programs, functions, and services of major city agencies.

The current city budget presentation inhibits understanding and participation in three ways.

First, agency budgets, organized into broad *units of appropriation*, do not easily allow users to understand how much money is being spent from one year to the next on the programs and services that citizens and their elected representatives care about—programs such as job training, childhood lead-poisoning prevention, HIV/AIDS prevention and treatment, after-school programs, immigrant services, affordable housing construction, and recreation programs.

Second, budget proposals are presented in terms of Financial Plan changes, rather than in terms of year-to-year comparisons. A proposed cut, or “PEG,” of \$1 million in a program is presented without information on how much was previously projected for spending on that program in previous Financial Plans, and whether the \$1 million “cut” would leave spending lower, higher, or the same as previous years’ spending levels. This presentation often manifests itself in annual debates over “hidden cuts” and what has been “baselined” in the Financial Plan. This manner of presenting the budget makes it difficult to understand the consequences for agency programs of budgetary decisions.

Finally, it is virtually impossible to link spending decisions to program results. Although at one time the city was a trailblazer in performance reporting, the lack of linkage between performance data reported in the Mayor’s Management Report and elsewhere, and clear spending information, makes it difficult for citizens, elected officials, and even agency managers to know what they are getting for their money and to evaluate alternatives. Our programmatic budget presentations integrate existing performance data from the Mayor’s Management Report, Capstat, and other sources produced by the Mayor’s office alongside spending figures, to provide a unified presentation of both spending and performance.

Our goal in preparing these budget reviews has been to hew as closely as possible to how the agencies themselves present their organization, programs, and services, on their official Web sites and other sources, including using the agencies’ own language to describe programs in most cases. While we have sought, and in most cases received, considerable input from agencies in the preparation of the program budget reviews, our presentations are not necessarily how the Mayor’s budget office or the agencies themselves would present their budgets, were they to do so in programmatic terms. Nonetheless, we think that our presentations can be instructive and point the way toward how to improve understanding of the city’s budget in a way that enhances public participation in the budget process.

IBO will periodically update our program budgets. We will continue to separately issue our analysis of the Preliminary Budget, including of selected agency budgets, as we have every year as required by City Charter section 246.

We welcome your comments or questions, which you may direct to IBO at (212) 442-0632, or by e-mail to ibo@ibo.nyc.ny.us.

Department of Transportation

MISSION

The mission of DOT is “to provide for the safe, efficient and environmentally responsible movement of people and goods in the City of New York and to maintain and enhance the transportation infrastructure crucial to the economic vitality and quality of life of ... City residents.”

AGENCY DESCRIPTION

The Department of Transportation (DOT) maintains, operates and reconstructs City bridges, maintains and resurfaces streets and arterial highways within the five boroughs, plans and funds street reconstruction, operates the Staten Island Ferry, manages the streetlighting system and traffic signal network, maintains and collects revenue from parking meters, operates parking facilities, helps regulate traffic flow, coordinates transportation planning, oversees subsidized bus and private ferry operations, and manages street use franchises. The five DOT program areas are Streets and Highways, Traffic and Parking, Bridges, Transit, and Administration and Operations.

FIVE-YEAR EXPENDITURE TRENDS BY PROGRAM AREA

Department of Transportation					
<i>Dollars in millions</i>	2003	2004	2005	2006	2007
	Actual Expenses	Actual Expenses	Actual Expenses	Current Modified Budget	Preliminary Budget
Spending by Program Area					
Streets & Highways	\$103.7	\$107.3	\$116.2	\$129.9	\$117.7
Traffic & Parking	193.1	190.7	205.5	230.1	202.9
Bridges	59.5	57.5	66.3	71.2	63.2
Transit	98.3	100.7	115.8	136.7	126.4
Admin. & Operations	46.9	45.6	50.7	54.3	51.5
TOTAL	\$501.5	\$501.8	\$554.5	\$622.2	\$561.0
Spending by Object					
Personal Services	\$241.0	\$246.3	\$265.9	284.0	258.2
OTPS	260.5	255.5	288.6	338.2	302.8
Full-time Personnel*	3,921	3,978	4,081	4,098	n.a.
Capital Commitments by Program Area					
Streets & Highways	\$166.4	\$226.9	\$245.8	\$452.5	\$450.7
Traffic & Parking	52.7	53.0	65.6	133.0	123.2
Bridges	467.6	570.3	266.2	303.6	1,084.0
Transit	53.1	50.6	73.8	98.5	50.8
Admin. & Ops. (Tr. Equipment)	25.7	8.8	6.8	14.3	50.6
Non-DOT projects					
TOTAL	\$765.6	\$909.6	\$658.1	\$1,001.9	\$1,759.3
SOURCE: IBO.					
NOTE: *Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.					

Department of Transportation

PROGRAM CHART

Program Area	Programs	Service Areas
Streets & Highways	Roadway Repair & Maintenance Permit Management & Const. Coord. Administration, Capital, & Other	Street Maint. & Curbs Arterial Highways Asphalt Fleet Services Permit Management Construction Coordination Inspection/Quality Assurance Emerg. Authorization Unit
Traffic & Parking	Signals, Signs, and Lighting Parking and Towing Safety Bus Stops Planning and Research Administration, Capital, and Other	Signals Signs Lighting Parking Towing Safety Red Light Cameras
Bridges	Bridge Repair Preventive Maintenance Engineering & Design Inspection/Quality Assurance Admin., Operations, & Support	"Flag" Repair Corrective Repair
Transit	Surface Transportation Ferries Pre-K Transportation Administration and Other	Surface Transit Buses Air quality Staten Island Ferry Harts Island Ferry Private Ferries
Admin. & Operations	Executive and Gen. Admin Veh. Maint. and Facilities Mgmt. Operations and Call Center Permits and Consents Other	Commissioner's Office Borough Commissioner Administration Vehicle Maintenance Facilities Management Operations Call Center Franchise and Rev. Consents Street Surveillance

Department of Transportation
Streets and Highways

PROGRAM AREA: STREETS AND HIGHWAYS

The Streets and Highways program area encompasses the activities of the Division of Street and Arterial Maintenance. This Division is responsible for maintaining and repairing city streets, highways, and sidewalks. Its primary objectives are to ensure the accessibility of streets and sidewalks citywide and to ensure the cleanliness of the arterial highway system. It maintains approximately 5,700 linear miles of city streets and arterial highways, monitors street cut activity by utilities, private contractors and other city agencies to insure that repairs meet the agency's standards, performs and supervise street resurfacing and repair work, operates an asphalt plant to provide materials for resurfacing and repair work, and maintains and repairs vehicles and equipment use for the street and arterial maintenance program.

The Streets and Highways program area consists of three major programs: Roadway Repair and Maintenance; Permit Management and Construction Coordination, and Administration.

STREETS AND HIGHWAYS <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$116,212	\$129,864	\$117,721
Personal services	73,391	75,871	69,103
Other than personal services	42,821	53,993	48,617
Programs			
Roadway Repair & Maintenance	\$102,858	\$114,120	\$103,272
Permit Management & Construction Coord.	11,018	10,125	9,017
Administration, Capital, & Other	2,336	5,619	5,432
Fulltime Positions	1,050	1,066	n.a.
Capital Commitments	\$245,785	\$452,536	\$450,677
City	224,385	417,732	374,711
Non-City	21,400	34,804	75,966
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Key Performance Measures	Type of Indicator	2002	2003	2004	2005
Streets maintained with a pavement rating of:					
Good	Outcome	82.4%	79.8%	74.3%	73.0%
Fair	Outcome	17.5%	20.0%	25.6%	26.8%
Poor	Outcome	0.2%	0.2%	0.1%	.20%
Potholes Repaired within 30 days of Notification	Service Quality	70%	89%	96%	98%
SOURCE: Mayor's Management Report.					

Department of Transportation
Streets and Highways

Roadway Repair and Maintenance

The roadway repair and maintenance division is responsible for maintaining approximately 5,700 linear miles of streets and arterial highways within the five boroughs. The Roadway Repair and Maintenance program includes two service areas: street and curb maintenance and the Arterial Highway program, supported by asphalt in-house production and purchase from outside vendors, and a fleet services division. The City continues to dedicate significant resources to its in-house resurfacing program, with 274.3 linear miles (900 lane miles) to be resurfaced in 2006.

Roadway Repair & Maintenance <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$102,858	\$114,121	\$103,272
PS	60,424	61,556	55,616
OTPS	42,434	52,565	47,656
Fulltime Positions	812	825	n.a.
Service areas			
Street Maint. & Curbs	\$43,524	\$42,802	\$38,356
Arterial Highways	14,996	14,982	11,163
Asphalt	33,785	44,645	41,698
Fleet Services	10,553	11,692	12,055
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Roadway Repair & Maintenance Performance Statistics	Type of Indicator	2002	2003	2004	2005
Lane Miles Resurfaced	Output	810.1	717.4	753.4	763.5
Avg. cost per lane mile resurfaced citywide	Efficiency	\$89,001	\$91,231	\$83,231	\$87,237
Potholes Repaired	Output	101,218	124,426	190,626	216,107
Avg. cost per ton of asphalt placed citywide	Efficiency	\$90.19	\$93.55	\$93.44	\$97.11
Avg. in-House Cost of Asphalt per ton (\$)	Efficiency	\$24.67	\$29.67	\$30.88	\$33.45
Avg. Vendor Cost of Asphalt per ton (\$)	Efficiency	\$32.95	\$36.30	\$37.83	\$43.74
Percent of arterial highway system adopted	Output	86.2%	66.9%	62.2%	58.8%
Percent of adopted highway miles audited	Output	18%	19%	20%	22%
Audited adopted highway miles that receive cleanliness ratings of:					
Good	Outcome	92%	93%	94%	95.8%
Fair	Outcome	7%	5%	4%	3.8%
Poor	Outcome	1%	2%	1.5%	0.4%
SOURCE: Mayor's Management Report.					

Department of Transportation
Streets and Highways

Permit Management & Construction Coordination

This program regulates the excavation and other uses of City streets and sidewalks by issuing permits for street openings, building and sidewalk construction, and canopy permits. This program also enforces laws and rules governing construction work on the City's sidewalks, roadways, and highways. Also, it provides for the safe and steady flow of vehicular and pedestrian traffic during construction by round the clock monitoring of active construction sites. Finally, this program issues violations for defective sidewalks and construction permits to repair such defects.

Permit Management & Construction Control <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$11,018	\$10,125	\$9,017
PS	10,730	9,367	8,625
OTPS	288	758	392
Fulltime Positions	201	203	n.a.
Service Areas			
Permit Management	\$2,052	\$409	409
Construction Coordination	2,056	3,013	2,097
Inspection/Quality Assurance (HIQA)	6,729	6,468	6,511
Emerg. Authorization Unit	181	235	0
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Permits Mgmt. & Const. Coord. Performance Statistics	Type of Indicator	2001	2002	2003	2004	2005
Construction Permits Issued	Output	172,600	173,700	179,500	189,400	200,400
Inspections of permitted street work	Output	n.a.	n.a.	n.a.	262,000	311,000
Inspected street work rated satisfactory	Outcome	85%	86%	84%	72%	76%
Summonses issued	Output	13,324	14,767	17,305	22,799	22,009
Number of Inspections by Type						
Street Opening Permit	Output	n.a.	n.a.	n.a.	183,119	n.a.
Building Operation Permit	Output	n.a.	n.a.	n.a.	47,435	n.a.
Manhole Embargo Permit	Output	n.a.	n.a.	n.a.	16,135	n.a.
Sidewalk Construction Permit	Output	n.a.	n.a.	n.a.	12,243	n.a.
Canopy Permit	Output	n.a.	n.a.	n.a.	30	n.a.
SOURCE: Mayor's Management Report.						

Department of Transportation
Streets and Highways

Administration, Capital, and Other

This program provides support services to the other units of the Division. The Deputy Commissioner's office and much of the planning for the Bureau's capital projects are contained in this program.

Administration, Capital & Other <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$2,336	\$5,619	\$5,432
PS	2,237	4,949	4,863
OTPS	99	670	569
Fulltime Positions	37	38	n.a.
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Traffic and Parking

PROGRAM AREA: TRAFFIC AND PARKING

The Division of Traffic Operations is responsible for facilitating the flow of vehicles on city streets and bridges; regulating parking on city streets and in city-owned lots and garages open to the public; maintaining bus stops; and ensuring the safety of motorists and pedestrians.

The Traffic and Parking Program Area consists of six programs: Signals, Signs, and Lighting; Parking and Towing; Safety; Bus Stops; Planning; and Administration.

TRAFFIC AND PARKING <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$205,549	\$230,054	\$202,203
Personal services	68,085	70,804	59,124
Other than personal services	137,464	159,250	143,079
Programs			
Signals, Signs, & Lighting	\$144,808	\$157,466	\$141,015
Parking & Towing	34,955	46,670	43,195
Safety	10,408	11,954	9,118
Bus Stops	1,242	760	0
Planning	4,462	5,806	1,626
Administration	9,674	7,398	7,249
Fulltime Positions	1,243	1,232	n.a.
Capital Commitments	\$65,595	\$132,953	\$123,183
City	36,176	71,611	73,819
Non-City	29,419	61,342	49,364
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: See program sections.

Department of Transportation
Traffic and Parking

Signals, Signs, and Lighting

The Division of Traffic Operations oversees the installation and maintenance of traffic signals at more than 11,800 signalized intersections. The Division is also responsible for the city's more than 1.3 million signs and 300,000 streetlights, as well as pavement markings. A large share of the program's expenses is for work that is contracted out, and for the cost electricity to power signals and lights.

Signals, Signs, & Lighting <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$144,808	\$157,466	\$141,015
Personal services	29,030	24,405	19,649
Other than personal services	115,778	131,061	121,366
Fulltime Positions	452	465	n.a.
Service Areas			
Signals	43,823	41,283	44,237
Signs	26,437	31,308	13,305
Lighting	74,548	84,875	83,473
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Signals, Signs, and Lighting Performance Statistics	Type of Indicator	2001	2002	2003	2004	2005
Traffic signals installed within six months of approval (%)	Outcome	96.9	98.7	98.0	100.0	100.0
Traffic signal defects responded to within 48 hours of notification (%)	Outcome	99.2	99.3	98.5	98.9	98.7
Streetlight defects responded to within 10 days of notification (%)	Outcome	96.1	96.9	95.8	95.8	92.9
SOURCE: Mayor's Management Report.						

Department of Transportation
Traffic and Parking

Parking and Towing

The Division of Traffic Operations maintains around 63,000 parking meters and 50 municipal parking lots and garages in the five boroughs.

Parking & Towing <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$34,955	\$46,670	\$43,195
Personal services	28,597	32,852	32,568
Other than personal services	6,358	13,818	10,627
Fulltime Positions	612	604	n.a.
Service Areas			
Parking	33,474	45,018	42,657
Towing	1,481	1,652	538
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

<i>Parking and Towing Performance Statistics</i>	Type of Indicator	2001	2002	2003	2004	2005
On-street parking meters that are operable (%)	Outcome	90.7	90.0	90.6	91.6	91.3
Parking meters that are electronic (%)	Outcome	58	75	75	96	97
Multi-space parking meters citywide	Outcome	n.a.	674	1,020	1,484	1,613
SOURCE: Mayor's Management Report.						

Department of Transportation
Traffic and Parking

Safety

The Safety program involves infrastructure improvements and educational efforts aimed at improving the safety of motorists and pedestrians. A separate service area, the Red Light Camera program, deters motorists from running red lights. It consists of 50 live cameras and 200 “dummy” (non-operational) cameras at key intersections throughout the city.

Safety <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$10,408	\$11,954	\$9,118
Personal services	2,974	4,315	3,096
Other than personal services	7,434	7,639	6,022
Fulltime Positions	64	57	n.a.
Service Areas			
Safety	3,410	4,041	1,205
Red Light Cameras	6,998	7,913	7,913
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Performance Statistics	Type of Indicator	2001	2002	2003	2004	2005
Citywide traffic fatalities	Outcome	386	397	365	330	297
SOURCE: Mayor's Management Report.						

Department of Transportation
Traffic and Parking

Bus Stops

DOT maintains the bus stop signs in New York City. Funding for this program is provided by the Metropolitan Transportation Authority (MTA).

Bus Stops <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$1,242	\$761	0
PS	1,000	609	0
OTPS	242	152	0
Fulltime Positions	17	18	n.a.
SOURCE: IBO. NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Traffic and Parking

Planning and Research

This program consists of traffic studies and transportation planning, including studies focused on pedestrians and cyclists.

Planning and Research <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$4,462	\$5,806	1,626
PS	3,166	4,128	1,315
OTPS	1,296	1,678	311
Fulltime Positions	56	57	n.a.
SOURCE: IBO. NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Traffic and Parking

Administration, Capital, & Other

This program provides general support for the Traffic and Parking program area.

Administration, Capital, & Other <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$9,674	\$7,398	\$7,249
PS	3,318	2,495	2,495
OTPS	6,356	4,903	4,754
Fulltime Positions	32	31	n.a.
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Bridges

PROGRAM AREA: BRIDGES

The Division of Bridges is responsible for the reconstruction, repair, maintenance and operation of approximately 800 city-owned bridges and tunnels, including the four East River bridges. The Bridges program area consists of five programs: Bridge Repair; Preventive Maintenance; Inspections and Quality Assurance, Engineering and Design, and Administration, Operations, and Support.

Bridges <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$65,343	\$71,247	\$63,231
Personal services	52,067	53,559	51,538
Other than personal services	13,280	17,688	11,693
Full-time Positions	717	726	n.a.
Programs			
Bridge Repair	\$25,656	\$27,168	\$21,154
Preventive Maintenance	12,697	15,672	15,322
Inspection/Quality Assurance	2,141	2,040	2,040
Engineering & Design	14,822	17,108	15,786
Admin., Operations & Support	10,031	9,259	8,929
Capital Commitments	\$266,166	\$303,584	\$1,084,015
City	93,514	283,747	862,985
Non-City	172,652	19,837	221,030
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Bridge Program Area Key Performance Measures	Type of Indicator	2002	2003	2004	2005
Average number of vehicles entering Manhattan Central Business District per 24 hrs (000s)	Demand	700.0	790.7	831.9	826.8
Bridges rated:	Outcome				
Very good		11.7%	12.5%	14.7%	14.7%
Good		26.1%	26.8%	27.8%	26.8%
Fair		61.0%	59.7%	57.0%	57.7%
Poor		1.2%	1.1%	0.5%	.8%
Percent deck area in good repair	Outcome	21.0%	23.1%	24.5%	23.7%
SOURCE: Mayor's Management Reports.					

Department of Transportation
Bridges

Bridge Repair

The DOT's Bridge Repair program consists of two service areas: "flag" repair and corrective repair. "Flag" repair corrects structural and safety deficiencies on bridges by using both in-house and contract forces. Corrective repair oversees mechanical and electrical systems on the city's 25 movable bridges. The 2006 budget provides \$19.7 million and 149 positions for flag repair, and \$6.7 million and 22 positions for corrective repair.

Bridge Repair Program <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$25,656	\$27,168	\$21,154
Personal Services	15,792	16,880	14,736
OTPS	9,864	10,288	6,418
Fulltime Positions	171	171	n.a.
Service Areas			
"Flag" Repair	19,401	20,451	14,983
Corrective Repair	6,255	6,717	6,171

SOURCE: IBO.
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.

Performance Results:

Bridge Repair Performance Statistics	Type of Indicator	2002	2003	2004	2005
Total flags routed	Output	850	909	976	948
red	Output	34	24	17	26
yellow	Output	169	173	202	129
safety	Output	647	712	757	793
Total flags eliminated	Output	1,102	888	833	906
red	Output	23	36	15	33
yellow	Output	406	176	219	197
safety	Output	673	676	599	676
<i>eliminated in-house</i>	Output		429	400	437
Total flags outstanding	Output	1,266	1,283	1,427	1,460
red	Output	26	14	16	9
yellow	Output	632	613	592	518
safety	Output	608	656	819	933
Electrical maint. (work tkts completed)	Output	271	306	352	381
Lubrication maint. (work tkts completed)	Output	461	416	420	432

SOURCE: Mayor's Management Report.

Department of Transportation
Bridges

Preventive Maintenance

The Preventive Maintenance program provides oiling, sweeping, cleaning, washing, electrical maintenance and spot and salt splash zone painting of the City's bridges. The Capital Budget also funds large-scale repainting.

Preventive Maintenance Program <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$12,697	\$15,672	15,322
Personal Services	\$12,175	14,284	14,348
OTPS	522	1,388	974
Fulltime Positions	174	173	n.a.
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Preventive Maintenance Program Performance Statistics	Type of Indicator	2002	2003	2004	2005
Concrete repair (sq. ft.)	Output	80,060	51,048	19,383	26,164
Deck repair (sq. ft.)	Output	40,251	36,410	37,789	42,825
Bridge painting (sq. ft. finish coat)					
in-house	Output	2,101	1,837	1,546	1,332
contract	Output	1,576	525	1,316	2,462
graffiti removal	Output	7,160	4,560	4,593	5,328
SOURCE: Mayor's Management Report.					

Department of Transportation
Bridges

Engineering & Design

The bridge Engineering and Design program funds positions to oversee capital budget work on the city's bridge structures. Funding is provided primarily from the city's capital budget through inter-fund agreements (IFA).

Engineering & Design <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$14,822	\$17,108	\$15,786
Personal Services	14,083	14,914	15,034
OTPS	739	2,194	752
Fulltime Positions	209	221	n.a.
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Bridges

Inspections And Quality Assurance

The bridge Inspections and Quality Assurance program funds inspections to detect necessary repairs on bridges, as well as to ensure that repairs have been done properly.

Inspections & Quality Assurance <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$2,141	\$2,040	\$2,040
Personal Services	1,918	1,552	1,552
OTPS	223	488	488
Fulltime Positions	31	31	n.a.
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Bridges

Administration, Operations, & Support

The bridge Administration, Operations, and Support program provides support to the other units of the Division of Bridges.

Administration, Operations, & Support <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$10,031	\$9,259	\$8,929
Personal Services	8,099	5,929	5,867
OTPS	1,932	3,330	3,062
Fulltime Positions	132	130	n.a.
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Transit

PROGRAM AREA: TRANSIT

The DOT's Division of Passenger Transport operates the Transit program area, which consists of four programs: Surface Transportation; Ferries; Pre-K Transportation; and Administration. The Surface Transportation program administers and oversees the city's private franchise buses, and provides support for clean air initiatives related to transit. The Ferry program operates the Staten Island Ferry and provides oversight to private ferry operations. The Pre-K program contracts with private companies to provide transportation to special needs pre-kindergarten students. The Administration program provides support to the other programs in the Transit program area.

TRANSIT <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$115,800	\$136,723	\$126,369
Personal services	41,316	51,231	48,715
Other than personal services	74,484	85,492	77,654
Programs			
Surface Transportation	\$2,833	\$2,889	\$1,254
Ferries	54,764	72,777	66,143
Pre-K Transportation	55,583	59,438	57,860
Administration	2,620	1,619	1,112
Fulltime Positions	579	603	n.a.
Capital Commitments	\$73,790	\$98,502	\$50,767
City	-3,272	63,073	43,417
Non-City	77,062	35,429	7,350
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: See program sections.

Department of Transportation
Transit

Surface Transportation

The Surface Transportation program has three service areas. Surface Transit administers the franchise bus program, which is in the process of being transferred to the MTA. The Bus program handles the purchase of buses for the private companies, as well as maintenance and repair of bus garages. The Air Quality service area administers grants that promote emissions reductions and alternate fuels for buses and taxis.

Surface Transportation <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$2,833	\$2,889	\$1,254
Personal services	2,294	2,191	1,234
Other than personal services	539	698	20
Fulltime Positions	29	27	n.a.
Service Areas			
Surface Transit	\$1,663	\$1,277	\$1,204
Buses	538	771	50
Air Quality	632	841	0
SOURCE: IBO. NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Surface Transportation Performance Statistics	Type of Indicator	2001	2002	2003	2004	2005
Franchise bus program, passengers served (millions)	Output	112.4	107.6	99.6	102.8	89.1
SOURCE: Mayor's Management Report. NOTE: Decline in passengers in 2003 due to strike; in 2005 due to MTA takeover.						

Department of Transportation
Transit

Ferries

By far the largest service area of the Ferry program is the Staten Island ferry. The ferry's expenses have risen considerably in recent years, due to safety mandates and increased service. In addition to running the Staten Island Ferry, DOT operates a ferry to Harts Island, and coordinates the activity of private ferries that serve New York City.

Ferries <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$54,764	\$72,777	\$66,143
Personal services	35,093	45,687	45,281
Other than personal services	19,671	27,088	20,862
Fulltime Positions	482	502	n.a.
Service Areas			
Staten Island Ferry	\$53,757	\$70,872	\$65,068
Harts Island Ferry	520	1,075	1,075
Private Ferries	487	830	0
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results:

Ferry Program <i>Performance Statistics</i>	Type of Indicator	2001	2002	2003	2004	2005
Staten Island Ferry						
Trips that are on-time (%)	Outcome	97.0	97.0	97.8	93.4	88.7
Change in number of passengers (%)	Output	-5.1	3.3	3.3	0.5	3.5
Average cost per passenger	Outcome	\$3.04	\$3.10	\$2.89	\$2.95	\$3.66
SOURCE: Mayor's Management Report.						

Department of Transportation
Transit

Pre-Kindergarten Transportation

DOT manages the transportation of special needs students in Pre-Kindergarten, on behalf of the Department of Education (DOE). All of the funding for this program comes from DOE. DOT contracts with private companies to provide the service. Of the \$59.4 million budgeted for Pre-K Transportation in 2006, around \$57.3 million is for the contracts, and \$2.1 million for the administration of the program.

Pre-K Transportation <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$55,583	\$59,438	\$57,860
Personal services	1,564	2,092	1,133
Other than personal services	54,019	57,346	56,727
Fulltime Positions	34	36	n.a.
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Transit

Administration & Other

This program provides general support for the Transit program area.

Administration & Other <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$2,620	\$1,619	\$1,112
PS	2,365	1,260	1,066
OTPS	255	359	46
Fulltime Positions	34	38	n.a.
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance results.

Department of Transportation
Administration and Operations

PROGRAM AREA: ADMINISTRATION AND OPERATIONS

The Administration and Operations program area encompasses the overall administration and operation of the Department, as well as programs that relate to multiple program areas. Administration and Operations consists of five programs: Executive and General Administration; Vehicle Maintenance and Facility Management; Operations and Call Center; Permits and Consents; and Other.

ADMINISTRATION & OPERATIONS <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$50,681	\$54,340	\$51,470
Personal services	30,746	32,579	29,759
Other than personal services	19,935	21,761	21,711
Programs			
Executive Administration	\$33,370	\$32,832	\$30,710
Vehicle Maintenance and Facility Mgmt.	11,356	13,297	13,757
Operations and Call Center	1,921	2,521	2,037
Permits and Consents	1,801	2,893	3,222
Other	2,233	2,797	1,743
Fulltime Positions	492	478	n.a.
Capital Commitments	\$6,772	\$14,293	\$50,618
City	6,772	12,975	42,479
Non-City	0	1,318	8,139
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: See program sections.

Department of Transportation
Administration and Operations

Executive & General Administration

This program covers the labor and non-labor expenses of the DOT's general administrative functions, including the offices of the Commissioner and the five Borough Commissioners.

Executive & General Administration <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$33,371	\$32,832	\$30,710
PS	17,990	16,374	14,670
OTPS	15,381	16,458	16,040
Fulltime Positions	277	273	n.a.
Service Areas			
Commissioner's Office	\$3,054	\$2,230	\$2,012
Borough Commissioners Administration	1,739	791	667
	28,578	29,811	28,031
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Administration and Operations

Vehicle Maintenance & Facilities Management

The Vehicle Maintenance and Facilities Management program handles the operation and upkeep of DOT's vehicle fleet and physical structures.

Vehicle Maintenance & Facilities Management <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$11,356	\$13,297	\$13,757
PS	7,785	8,878	8,609
OTPS	3,571	4,419	5,148
Fulltime Positions	124	124	n.a.
Service Areas			
Vehicle Maintenance	7,368	\$8,463	\$8,545
Facilities Management	3,988	4,834	5,212
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Administration and Operations

Operations & Call Center

The Operations and Call Center program includes radio communication and the functioning of DOT's Call Center.

Operations and Call Center <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$1,921	\$2,521	\$2,037
PS	1,055	1,938	1,716
OTPS	866	583	321
Fulltime Positions	18	18	n.a.
Service Areas			
Operations	805	\$1,750	\$1,301
Call Center	1,116	771	736
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Administration and Operations

Permits & Consents

The Permits and Consents program consists of two service areas. Franchise and revocable consents regulates the use of the city's streets and sidewalks, including space occupied by utilities. Street surveillance ensures that utility companies do the appropriate repairs after digging.

Permits & Consents <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$1,801	\$2,893	\$3,222
PS	1,711	2,655	3,047
OTPS	90	238	175
Fulltime Positions	38	29	n.a.
Service Areas			
Franchise and Rev. Consents	\$1,337	\$2,370	\$2,699
Street Surveillance	464	523	523
SOURCE: IBO.			
NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.

Department of Transportation
Administration and Operations

Other

The “Other” program category consists primarily of funding to support DOT capital projects.

Other <i>Dollars in thousands</i>	2005 Actual Expenses	2006 Current Modified Budget	2007 Preliminary Budget
Spending	\$2,233	\$2,797	\$1,743
PS	2,205	2,734	1,718
OTPS	28	63	25
Fulltime Positions	35	34	n.a.
SOURCE: IBO. NOTE: Full-time personnel: Actual as of June 30 for 2002 through 2005. As of November 30 for 2006.			

Performance Results: No reported performance statistics.